

CERTIFICATE OF MAILING

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Attorney for Applicant(s)

PATENT APPLICATION

Docket No.: SJO920030008US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Richard V. Kisley et al.

Serial No.: Not yet assigned

Filing Date: October 22, 2003

For: INCREMENTAL DATA STORAGE METHOD,  
APPARATUS, INTERFACE, AND SYSTEM

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) Group Art  
) Unit:  
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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement discloses information which has come to the attention of applicant and his attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(b), this Statement is being filed within three (3) months of the filing date of the above-identified application or before the mailing date of a first Action on the merits.

Neither applicant nor his attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement shall not be construed

as a representation that a search has been made or as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

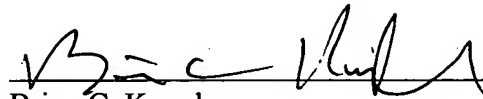
In accordance with 37 C.F.R. § 1.98, this Information Disclosure Statement includes and is accompanied by:

1. A completed copy of Form PTO-1449 listing the patents, publications and other information being submitted for consideration; and
2. A legible copy of each patent, publication and other item of information in written form listed on the enclosed Form PTO-1449.

#### NON-ENGLISH INFORMATION

Pursuant to 37 C.F.R. § 1.98, following is a concise explanation of the relevance (as it is presently understood by the individual designated in 37 C.F.R. § 1.56(c) most knowledgeable about the content of the information), of each listed patent, publication or other information that is not in the English language.

Respectfully submitted,



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Date: October 22, 2003

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<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (use several sheets if necessary)	SERIAL NO. Not yet assigned	ATTORNEY DOCKET NO. SJO920030008US1
	FILING DATE October 22, 2003	GROUP ART UNIT
	APPLICANT(S): Richard V. Kisley et al.	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,403,639	04/04/1995	Belsan et al.	395/600	09/02/1992
	A2	5,586,248	12/17/1996	Alexander et al.	395/182.2	03/13/1995
	A3	6,021,408	02/01/2000	Ledain et al.	707/8	09/12/1996
	A4	6,061,770	05/09/2000	Franklin	711/162	11/04/1997
	A5	6,163,856	12/19/2000	Dion et al.	714/4	05/29/1998
	A6	6,223,269	04/24/2001	Blumenau	711/202	09/27/1997
	A7	6,240,527	05/29/2001	Schneider et al.	714/21	11/29/1999
	A8	6,366,987	04/02/2002	Tzelnic et al.	711/162	08/13/1998
	A9	6,374,267	04/16/2002	Tam	707/204	08/13/1999
	A10	6,397,308	05/28/2002	Ofek et al.	711/162	12/31/1998
	A11	6,442,706	05/27/2002	Wahl et al.	714/6	10/30/2000

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION	
						YES	NO

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

**NON-PATENT DOCUMENTS**

<b>EXAMINER INITIAL</b>		<b>DOCUMENT (Including Author, Title, Source, and Pertinent Pages</b>
	A12	John K. Ousterhout and Mendel Rosenblum, "The Design and Implementation of a Log-Structured File System", July 24, 1991,
	A13	Mario Apicella, "Next-Generation Data Protection" <a href="http://www.infoworld.com/article/03/05/23/21TCdiskbacks_1.html">http://www.infoworld.com/article/03/05/23/21TCdiskbacks_1.html</a> ,May 23, 2003,

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).